

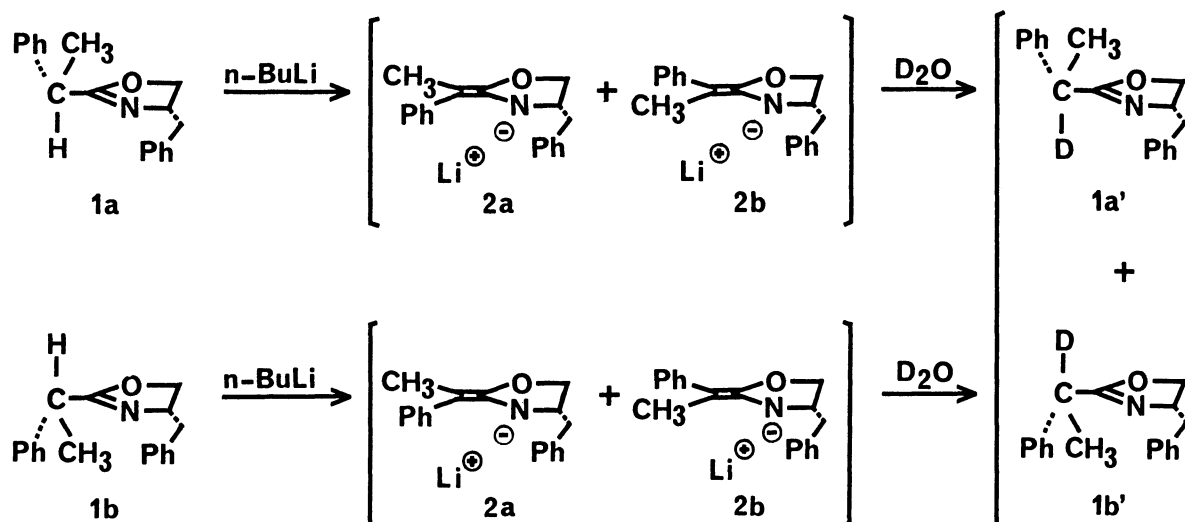
THE MECHANISM OF ASYMMETRIC TRANSFORMATION OF 2-SUBSTITUTED  
ALKANOIC ACIDS VIA CHIRAL OXAZOLINESSaizo SHIBATA,\* Hajime MATSUSHITA, Hajime KANEKO, Masao NOGUCHI,  
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The mechanism of asymmetric transformation of 2-substituted alkanolic acids via chiral oxazolines was examined using two epimers of (4S)-2-(1-phenylethyl)-4-benzyloxazoline. The transformation in THF was elucidated to be governed by kinetic control, and its process was clarified by measuring the ratio of <sup>13</sup>C-labeled lithio oxazolines by <sup>13</sup>C NMR.

In previous papers, the asymmetric transformation of 2-phenyl and 2-chloroalkanoic acids was reported.<sup>1)</sup> The mechanism of the transformation has not yet been known, and the elucidation of it is of particular interest in the study of this unique method. Therefore, the mechanism of the transformation was examined.

The asymmetric transformation already reported was carried out on a mixture of two epimers at C-2 exo methine of oxazoline ring.<sup>1)</sup> In this experiment, to investigate the mechanism of the transformation, two epimers (1a and 1b) of (4S)-2-(1-phenylethyl)-4-benzyloxazoline were separated by silica gel chromatography (silica gel 60 pre-packed column (Merck), 40% ether-hexane), and the each epimer was submitted to the transformation separately.<sup>2)</sup> To assure the quantitative formation of the lithio carbanions (2a and 2b), deuterium oxide was used instead of water to quench the carbanions. Thus, 1a and 1b were converted to the deuterated epimers (1a' and 1b') as shown in Scheme 1.

In a typical experiment, a solution of butyllithium (0.16 ml, 0.2 mmol) in hexane was added dropwise to a solution of 1a (98%) (13.3 mg (0.05 mmol); 1a:1b=98:2) in dry THF (1 ml) at -78 °C.<sup>3)</sup> The reaction mixture became yellow indicating the formation of its lithio carbanions. This yellow solution was stirred for 45 min at -78 °C, and then 25% D<sub>2</sub>O-THF solution (0.1 ml) was added and allowed to warm to room temperature. The mixture was extracted with ether, and the organic layer was washed with brine, dried over Na<sub>2</sub>SO<sub>4</sub>, and concentrated under reduced pressure. The ratio of 1a' and 1b' in this residue was determined by GLPC(OV-1, 0.3mmφ X 50 m, 170 °C), and deuterium incorporation was detected by MS and <sup>1</sup>H NMR. The post metalation period (stirring period of the reaction mixture after the addition of butyllithium) was varied in the range of 5 min, 45 min, and 5 h to examine the effects on the transformation. The mixture of 1a and 1b (1a:1b=49:51)



and 1b(96%) were also examined as described above and the results are shown in Table 1.

1a(98%) gave a mixture of 1a' and 1b' in a ratio of 8:2, and 1b(96%) gave it in a ratio of 6:4. This difference is not due to insufficient deprotonation from 1a or 1b because deuterium incorporation was above 90% in both cases. In this transformation the configuration at C-2 exo methine of 1a was retained ca. 80%, and on the other hand, inversion of the configuration predominated over retention of it in the case of 1b. Although the ratio of 1a' and 1b' depends on the starting epimers, the ratio is practically independent on the post metalation period.

To examine solvent effects, the transformation was performed in 25% HMPA-THF solution. The results are shown in Table 2. In this case, both 1a(98%) and 1b(96%) gave 1a' and 1b' in a ratio of 4:6 with deuterium incorporation above 90% in contrast with the results obtained in THF.

These indicate that in 25% HMPA-THF solution 2a is in equilibrium with 2b, and the asymmetric transformation performed in THF solution is governed by kinetic control under the experimental conditions.

Table 1. Asymmetric transformation of 1 in THF

| post metalation <sup>a)</sup><br>period | 5                       |    | 45                      |    | 300                     |    |
|---|-------------------------|----|-------------------------|----|-------------------------|----|
|   | D incorpo.              |    | D incorpo.              |    | D incorpo.              |    |
| <u>1a</u> : <u>1b</u>                   | <u>1a'</u> : <u>1b'</u> | %  | <u>1a'</u> : <u>1b'</u> | %  | <u>1a'</u> : <u>1b'</u> | %  |
| 98:2                                    | 82:18                   | 96 | 83:17                   | 97 | 83:17                   | 95 |
| 49:51                                   | 71:29                   | 93 | 71:29                   | 93 | 68:32                   | 94 |
| 4:96                                    | 63:37                   | 91 | 62:38                   | 95 | 62:38                   | 95 |

a) Samples were metalated at -78 °C and stirred for the intervals indicated at -78 °C.

Table 2. Asymmetric transformation of **1** in 25% HMPA-THF

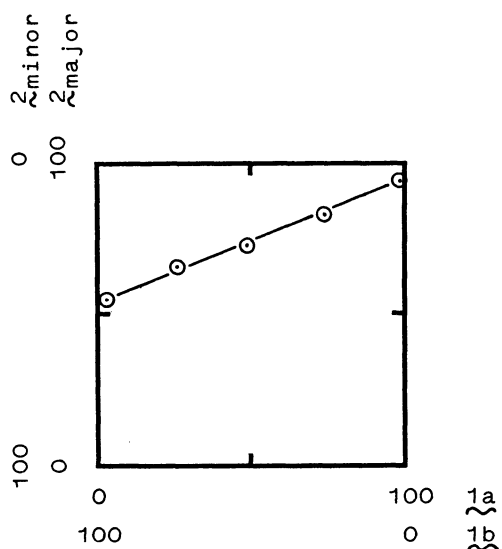
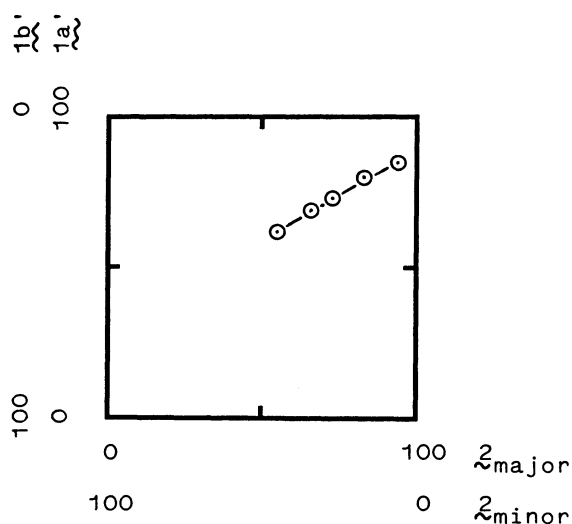
| $\underline{1a}:\underline{1b}$ | $\underline{1a'}:\underline{1b'}$ , <sup>a)</sup> | D incorpo. <sup>a)</sup> |
|---------------------------------|---|--------------------------|
|                                 |   | %                        |
| 98:2                            | 39:61   | 94                       |
| 49:51                           | 41:59   | 91                       |
| 4:96                            | 41:59   | 94                       |

a) Post metalation period was 45 min.

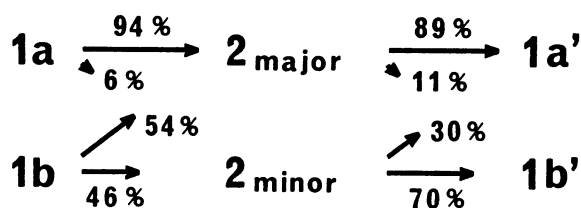
Table 3. Asymmetric transformation of  $^{13}\text{C}$ -labeled **1** in THF

| $\underline{1a}:\underline{1b}$ | lithio oxazoline ratio | $\underline{1a'}:\underline{1b'}$ |
|---------------------------------|------------------------|-----------------------------------|
| 99:1                            | 94:6                   | 85:15                             |
| 74:26                           | 83:17                  | 80:20                             |
| 49:51                           | 73:27                  | 73:27                             |
| 26:74                           | 66:34                  | 69:31                             |
| 3:97                            | 55:45                  | 62:38                             |

This asymmetric transformation consists of the formation of lithio carbanions (**2a** and **2b**) and successive protonation to the carbanions. To clarify the mechanism of the process the ratio of the lithio oxazolines was measured by  $^{13}\text{C}$  NMR. A mixture of  $^{13}\text{C}$ -enriched **1a** and **1b** at methyl carbon  $\beta$  to oxazoline ring was prepared from (4S)-2,4-dibenzoyloxazoline and ca. 50% enriched [ $^{13}\text{C}$ ]methyl iodide according to the method described by Meyers *et al.*<sup>4)</sup> The  $^{13}\text{C}$ -labeled epimers (**1a** and **1b**) were separated by silica gel column as described before, and five mixtures of the two epimers ( $\underline{1a}:\underline{1b}$ =99:1, 72:26, 49:51, 26:74, 3:97) were prepared. The each mixture (26.6 mg, 0.1 mmol) was dissolved in 0.2 ml of dry THF, and 0.17 ml of butyllithium in hexane (1.2 M, 0.2 mmol) was added to the solution at  $-78^\circ\text{C}$ . The  $^{13}\text{C}$  NMR spectrum of each solution was recorded at  $-78^\circ\text{C}$  under quantitative conditions,<sup>4,5)</sup> and showed a minor peak (**2<sub>minor</sub>**) at 18.1 ppm and a major peak (**2<sub>major</sub>**) at 16.1 ppm.<sup>6)</sup> After the measurement of the spectrum, 0.2 ml of 25%  $\text{D}_2\text{O}$ -THF solution was added to the sample at  $-78^\circ\text{C}$ . The ratio of **1a'** and **1b'** of the resulting mixture was determined as described before. The results are shown in Table 3.

Fig. 1. Relationship between the ratio of **1a** and **1b** and the lithio oxazoline ratio.Fig. 2. Relationship between the lithio oxazoline ratio and the ratio of **1a'** and **1b'**.

The relationships between the ratio of  $1a:1b$  and that of lithio oxazoline, and the ratio of lithio oxazoline and that of  $1a':1b'$  are shown in Fig. 1 and 2 respectively. The selectivities of the formation of the lithio oxazolines ( $2_{\text{major}}$  and  $2_{\text{minor}}$ ) from  $1a$  and  $1b$ , and of  $1a'$  and  $1b'$  from the lithio oxazolines are calculated from the slopes of the straight lines in Fig. 1 and 2, and illustrated in Scheme 2. From above results, retention of the stereochemistry of  $1a$  was explained by the selective formation of  $2_{\text{major}}$  from  $1a$  and that of  $1a'$  from  $2_{\text{major}}$ . Inversion of the stereochemistry of  $1b$  was accounted for by the preferential formation of  $2_{\text{major}}$  from  $1b$  and by the partial formation of  $1a'$  from  $2_{\text{minor}}$ . The further investigation on the reason for the selectivity shown above is in progress.



Scheme 2

## References

- 1) S. Shibata, H. Matsushita, H. Kaneko, M. Noguchi, M. Saburi, and S. Yoshikawa, Chem. Lett., **1981**, 217; S. Shibata, H. Matsushita, H. Kaneko, M. Noguchi, M. Saburi, and S. Yoshikawa, Heterocycles, **16**, 1901 (1981); S. Shibata, H. Matsushita, H. Kaneko, M. Noguchi, M. Saburi, and S. Yoshikawa, Bull. Chem. Soc. Jpn., **55**, 3546 (1982).
- 2) To determine the absolute configuration at C-2 *exo* methine of  $1a$  and  $1b$ , the mixture of  $1a$  and  $1b$  (10:90, 133 mg) was hydrolyzed in 3 M  $H_2SO_4$ . The configuration of the resulting 2-phenylpropionic acid (yield 88%;  $[\alpha]_D^{25} -54.8^\circ$  ( $c$  1.6,  $CHCl_3$ ) o.p. 72%) was the (R) configuration; K. S. Lau, R. W. Fries, J. K. Still, J. Am. Chem. Soc., **96**, 4983 (1974); S. P. Bakshi and E. E. Turner, J. Chem. Soc., **1961**, 171.
- 3) Large excess amounts of butyllithium were used to exclude insufficient deprotonation from  $1a$  and  $1b$ .
- 4) A. I. Meyers, E. S. Snyder, and J. J. H. Ackerman, J. Am. Chem. Soc., **100**, 8186 (1978).
- 5) To assure quantitative conditions, the spin-lattice relaxation time ( $T_1$ ) determination was performed for the  $\beta$  methyl carbon in  $2a$  and  $2b$  at  $-78^\circ C$  using the standard inversion recovery techniques. The relaxation times of the  $\beta$  methyl carbon for both  $2a$  and  $2b$  were almost identical and equal to 0.25 s. The  $^{13}C$  NMR measurement was carried out using gated decoupling to suppress the Overhauser effect (NOE) (a delay time ( $\tau$ )  $> 5T_1$ ).
- 6) Assignment of the stereochemistry of the lithio oxazolines ( $2_{\text{major}}$  and  $2_{\text{minor}}$ ) is difficult to make from the data at hand.

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